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# Measuring Skills in Developing Countries

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## ABSTRACT

*Measures of cognitive, noncognitive, and technical skills are increasingly used in surveys in developing countries, but have mostly been validated in high-income countries. We use a survey experiment in Western Kenya to test the reliability and validity of commonly used skills measures. Cognitive skills measures are found to be reliable and internally consistent, technical skills are very noisy, and measurement error in noncognitive skills is found to be nonclassical. Addressing both random and systematic measurement error using common psychometric practices and repeated measures leads to some improvements and clearer predictions, though concerns remain. These findings hold for a replication in Colombia.*

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## I. Introduction

Cognitive and noncognitive skills are often considered key to understand economic decision-making. Empirical work with data from the United States and Europe has made important advances in our understanding of both the causes and the consequences of skill formation (Heckman 2007). Increasingly, cognitive, noncognitive, and technical skills are the focus of analysis in development economics, with recent work on the determinants of skill formation (Attanasio et al. 2015) and the importance of skills for later life outcomes (Gertler et al. 2014). Development economists have also long worried about the role of many hard-to-observe skills as potential confounders in empirical analyses.

Low levels of skills are often seen by policymakers as key constraints to reducing poverty, and large investments are made in training programs aimed at improving skills (1 billion US\$ a year by the World Bank alone), even if there are many questions regarding their effectiveness (McKenzie and Woodruff 2014; Blattman and Ralston 2015). Low levels of skills among farmers in developing countries are thought to be one of the main drivers of productivity differences between sectors in the economy (Lagakos and Waugh 2013). Young (2013) argues that sorting on skills explains the urban–rural productivity gaps observed in most developing countries, and Gollin, Lagakos, and Waugh (2014) show that productivity differences become smaller when accounting for observed human capital differences. Understanding such potential selection at the micro-level arguably requires measures that go beyond years of schooling attained, and more complex measures of skills are sometimes included in household surveys. Yet the measurement of skills in developing-country field conditions poses substantial challenges, and the related measurement error and its implications for empirical work have received little attention.

This work begins to address this gap with the results of a skill measurement experiment. It documents the measurement challenges and discuss potential solutions and implications. We designed and implemented a relatively large survey focused on measuring different types of skills, and use the data to examine the reliability and validity of a wide set of commonly used skill measures and on the predictive power of the measured skills. We refer to cognitive skills as those that capture so-called hard skills, such as abstract reasoning power, language, and math skills, while noncognitive skills capture soft or socioemotional skills, including a wide set of personality traits and facets, such as self-esteem, tenacity, conscientiousness, locus of control, and attitudes to change. The measure of technical skills focuses on agricultural knowledge and know-how, given that the data come from poor rural individuals whose main occupation is in agriculture.<sup>1</sup>

There is a wide variety of existing questions, tests, and modules to measure skills. Many instruments have been designed to assess skills in lab conditions, and some standardized instruments have been developed for inclusion in surveys in developed-country settings. Increasingly, economists are also including measures of abilities and personality traits in household surveys conducted in developing countries. But little

1. Hence we broadly follow the distinction of Heckman and Kautz (2012), who distinguish between cognitive abilities, personality traits, and other acquired skills. Jones and Kondylis (2018) is a recent example using a measure of acquired agricultural skills, in which measurement error is being discussed as potential reason for lack of impact.

validation of survey instruments has occurred for such contexts.<sup>2</sup> Many questions can be raised about the applicability of some of the existing scales for poor rural populations, given the high level of abstraction of many questions, the low levels of education in the respondent population, difficulties of standardization for enumerator-administered tests conducted in the field, and translation challenges.

This study aims to test the reliability and validity of several commonly used scales and tests and highlights both random and systematic measurement error that needs to be accounted for when measuring skills in developing-country field conditions. The main analysis draws on a sample of 900 farmers in Western Kenya and first analyzes the test–retest reliability and the Cronbach’s alpha to estimate the internal consistency of various existing scales. We subsequently use exploratory factor analysis, correction for acquiescence bias, and item response theory to reduce measurement error, and we analyze validity and reliability of the improved constructs.<sup>3</sup> We then study the predictive validity of both the original scales and the improved constructs and analyze the extent to which the skills measured predict agricultural productivity and economic decisions related to the adoption of advanced agricultural practices.<sup>4</sup> This tests the potential role of these skills in agricultural production and shows that they might be important omitted variables when not included in the analysis of agricultural decision-making.

Almund et al. (2011) suggest that different skills and personality traits might help predict different outcomes, with cognitive ability being more important for complex tasks, while personality is shown to be more important for job performance. This study focuses on a specific population and considers outcomes that relate to their main occupation, farming. Even if the most highly skilled individuals may have selected out of this occupation, understanding the importance of skills for agricultural decisions and productivity is important in its own right, given that the majority of the world’s poor continue to live in rural areas, where agriculture remains the most important source of employment (World Bank 2008). Differences in the willingness to exert effort are often considered key to understand heterogeneity in agricultural outcomes (de Janvry, Sadoulet, and Suri 2016). More generally, farmers face many different tasks and decisions—some of which depend more on knowledge, others on problem-solving ability, and yet others on effort. In the predictive regressions, we consider a variety of outcomes to capture those potential differences, and we analyze to what extent different skills explain a meaningful part of the variation in outcomes.

Our first set of results show that cognitive skills, using both standardized scales and tests developed for the specific context, can be measured with high levels of reliability and validity, similar indeed to those found in developed-country settings. Cognitive skills also show good predictive validity, even after controlling for educational levels. On the other hand, we find that standard application of commonly used scales of noncognitive and technical skills suffer from large measurement error, resulting in low

2. Measures of risk aversion and time preferences, which have a longer history of use in developing-country surveys, have received more scrutiny. Chuang and Schechter (2015) review the evidence, including their stability over time.

3. While explanatory factor analysis is used elsewhere in the economics literature on skills (Cunha and Heckman 2010; Heckman, Stixrud, and Urzua 2006), we also build on insights from the psychometrics literature, such as for the corrections for acquiescence bias (the tendency to agree even when statements are contradictory, or “yea-saying”).

4. Following McKenzie (2012), in order to reduce the noise in the outcome variables, the measures of yield and practices are obtained from the average over the four seasons that followed the collection of skills data.

reliability and validity. For technical skills, factor analysis and item response theory result in a construct with higher predictive validity, even if large measurement error remains. Repeated measurement further helps to improve predictive power, and most of the measurement error in technical skills appears to be random measurement error. A possible explanation for this measurement error is the heterogeneity in optimal agricultural practices, which can be highly contextual and therefore perceived correct answers to technical skills questions may well vary between farmers and over time.

For noncognitive skills, the evidence suggests systematic measurement error. Combining questions according to preexisting scales leads to low internal consistency, and the latent noncognitive construct resulting from the factor analysis does not map in the personality domains typically found in developed countries. While the corrected noncognitive constructs are predictive of agricultural productivity, the estimates do not allow one to draw conclusions about the relevance of specific noncognitive skills. Overall, the best predictions obtained after corrections of different sources of measurement error show that the three skill domains together explain up to 14 percent of the variation in yield, with all three constructs being significant and with similar point estimates. Technical and noncognitive skills also help predict some agricultural practices and input use.

Lastly we analyze the different challenges for measuring skills in household surveys in developing countries and discuss guidelines on how to address them. Building on the randomized allocation of enumerators to respondents, we show the potential key role of the interaction with enumerators to explain some of the identified measurement problems, a finding that, to the best of our knowledge, has not been quantified before. Other challenges include the respondents' ability to understand the questions, order effects, response biases, anchoring, different factor structures, and the idiosyncrasy of agricultural knowledge. Finally, we replicate key parts of the experiment and analysis with 804 farmers in Colombia and show that the results present similar patterns for this very different context and language.

The large amount of measurement error this study documents provides an important warning for studies trying to use similar measures in poor rural settings. Measurement error, when it is classical, could lead to important attenuation bias and lack of statistical power. This might well lead to an underestimation of the importance of skills for decision-making or of the impact of external interventions on cognitive, noncognitive, or technical skill formation. This can have important implications for sample size calculations and might point to the usefulness of measuring individuals' skills at several points in time to reduce such error.<sup>5</sup> Yet the evidence also suggests the measurement error in skills might well be nonclassical and could hence more broadly lead to erroneous conclusions. The results in this study—intended as a proof of concept—show that it can be particularly hard to distinguish different aspects of noncognitive skills at least in some rural developing contexts. This suggests that studies measuring only a subset of noncognitive skills need to be careful regarding the interpretation of which latent factor is being measured.

The measurement challenges identified in this study relate to a wider literature in economics, which has highlighted measurement concerns for attitudinal, expectations, or aspirations questions in both developed and developing countries (Bertrand and

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5. The observation that measurement error might be substantially higher for noncognitive than for cognitive skills and the limitations of the use of Big Five questionnaires in large-scale surveys have also been pointed to by Borghans et al. (2008) as potential reasons for underestimating the importance of noncognitive skills in developed-country settings.

Mullainathan 2001; Krueger and Schkade 2008; Manski 2004; Delavalande, Giné, and McKenzie 2011; Bernard and Taffese 2014; Bond and Lang 2019). The analysis of noncognitive skills also relates to the psychometrics literature on the validity of Big Five personality trait measures across cultures (Benet-Martinez and John 1998; John, Naumann, and Soto 2008). Using data from self-administered surveys collected mostly from college students in high- and middle-income settings, a number of papers argue that the Five Factor Model (FFM) is universal (McCrae and Costa 1997; Piedmont et al. 2002; McCrae and Terracciano 2005), including in Africa (Schmitt et al. 2007).<sup>6</sup> Others claim, however, that no one scale can apply to all cultures (Cross and Markus 1999) and show, for instance, that an orally administered survey on a forager–horticulturalist indigenous population in the Bolivian Amazon does not find support for the FFM model (Gurven et al. 2013). In related work, we find strong evidence of a lack of congruence for Big Five measures collected in household surveys across 23 low- and middle-income countries (Laajaj et al. 2019). Both this related work and the current study raise concerns about the applicability of such measures in household surveys. To the best of our knowledge, there are, however, no validation exercises using household survey measures of other noncognitive, cognitive, nor technical skills in developing countries even if they are commonly and increasingly used in the micro-development literature.

This work also relates to a large literature on the importance of cognitive and non-cognitive functioning in household economic decision-making. Cognitive ability has been shown to be an important predictor of socioeconomic success (Heckman 1995; Murnane, Willett, and Levy 1995). Heckman, Stixrud, and Urzua (2006) and Heckman and Kautz (2012) argue that noncognitive abilities matter at least as much, despite the historically strong focus on cognitive ability. In developed countries, evidence shows noncognitive abilities and personality traits to be related to a large set of socioeconomic outcomes, such as wages, schooling, crime, and performance on achievement tests (Bowles, Gintis, and Osborne 2001; Heckman, Stixrud, and Urzua 2006; Cunha and Heckman 2010; Almund et al. 2011). In the psychology literature, there is also substantial evidence on the predictive power of personality traits for socioeconomic outcomes. Development economists are also increasingly including measurements and analysis of personality traits in empirical studies (Dal Bo, Finan, and Rossi 2013; Callen et al. 2015).

The insights from this study are relevant for various strands of the wider literature. In light of the large debate about whether the worldwide increase in schooling is leading to measurable and sustained gains in learning (Pritchett and Beatty 2015), having widely comparable measures of cognitive abilities, which can be measured for adults, outside of the classroom, and in a variety of settings, is arguably key. Certain large data collection efforts covering wide and heterogeneous populations, such as the Young Lives Surveys or the World Bank STEPS surveys (Pierre et al. 2014), are now including measures for noncognitive abilities and personality traits. Increasingly skills measures are also included in impact evaluation surveys, specifically when interventions aim to change noncognitive traits (Bernard et al. 2014; Groh, McKenzie, and Vishwanath 2015; Blattman, Jamison, and Sheridan 2017; Ghosal et al. 2016; Adhvaryu, Kala, and Nyshadnam 2016) but also when changes in noncognitive abilities are seen as potential

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6. McCrae and Terracciano (2005) administered the survey to observers (asking one person about someone else's personality traits), but it was self-administered, that is, the respondent filled in responses rather than being asked by an enumerator.

mechanisms to explain changes in final outcomes (Blattman and Dercon 2016). Moreover, there is a growing literature on learning, technology adoption, and agricultural productivity in developing countries that often relies on measures of agricultural knowledge and learning (Jack 2011; de Janvry, Sadoulet, and Suri 2016). Finally, while this study focuses on measures during adulthood, skills start to develop much earlier in life, and poverty during early childhood can lead to very serious cognitive delays and affect socioemotional development (Grantham-McGregor et al. 2007). Growing evidence furthermore suggests a strong link between early childhood and adult outcomes, including recent work focusing on the long-term impact of external factors during childhood on noncognitive outcomes of adults (Leight, Glewwe, and Park 2015; Krutikova and Lilleor 2015). As such, a better measurement of adult skills can contribute to better understanding of the long-term returns to social policies targeting skill development in early childhood and beyond.

The paper is organized as follows: the next section provides more information about the context, the instrument, and the implementation of the survey experiment. Section III provides the intuitive description of and rationale for the improved constructs and discusses reliability and internal consistency. It also shows predictive validity results, using agricultural yield and practices as outcome variables, and compares results with the naive and the improved constructs. Section IV presents additional analysis and derives lessons and practical recommendations for skills measurement. Section V then discusses the main findings for Colombia, and Section VI concludes. Finally, the [Online Appendix](#) provides the technical details on psychometric concepts and methods used, documents the construction of the indexes, and the details of the questionnaire design. Together with the available code, it aims to provide guidance for other researchers. The [Online Appendix](#) also contains the details on the Colombian replication and other secondary empirical results.

## II. Setting, Sample and the Questionnaire Design

### A. Setting

The survey experiment was conducted in Siaya province in Western Kenya targeting 960 farmers, spread across 96 villages and 16 sublocations, of whom 937 were reached for the first measurement and 918 for the second measurement. Half of the farmers were selected from a stratified random draw of farming households in each village, and the other 50 percent were farmers nominated in village meetings for participating in agricultural trials. The village list was either preexisting or drawn up by the village health worker. Given the individual nature of skills, the sample is a sample of individuals identified as being the main farmer in the selected households. Farmers were surveyed twice with an interval of about three weeks between the test and retest.

Respondents have on average six years of education (substantially below the Kenyan average), are on average 46 years old, and a bit more than half are female, while 62 percent are head of household. Farms contain on average about three plots, and 65 percent of households own at least some cattle. Maize is the main staple crop, and it is often intercropped or rotated with beans. Many farmers also have root crops and bananas.

## ***B. Questionnaire Design***

The main instrument consists of three modules (cognitive, noncognitive, and technical agronomic skills) that were asked in random order. This section summarizes the content of each module as well as the rationale for the choice of questions and tests. [Online Appendix C](#) provides a more comprehensive description of the questionnaire.

Many instruments have been designed to assess cognitive and noncognitive skills in lab conditions or among highly educated respondents in high-income settings. They have subsequently been integrated into survey instruments that are applied in field conditions, often without prior testing of their suitability. We therefore aim to test the validity of existing cognitive and noncognitive scales administered in rural field conditions. An extensive desk review of papers allowed us to make an initial selection of questionnaire modules and questions that are similar to approaches used elsewhere in the literature. For technical skills, rather than starting from specific questions, we focus on different types of questions found in the literature.

### *1. Cognitive skills*

With the objective of measuring different aspects of adult farmers' cognitive ability, we selected five cognitive tests: (i) the Raven Colored Progressive matrices, measuring visual processing and analytical reasoning; (ii) the digit span forwards and backwards, measuring short-term memory and executive functioning; (iii) a written and timed test of basic math skills; (iv) an oral nine-item test containing short math puzzles relevant for agriculture, with increasing in difficulty level; and (v) a reading comprehension test. [Table A1.A in Online Appendix C](#) provides detailed descriptions of each of the tests.

### *2. Noncognitive skills*

The noncognitive part focuses on testing instruments derived from commonly used scales in noncognitive domains that the literature has found to be predictive of success in life and that are potentially relevant for smallholder farmers. We use a subset of items from the 44-item BFI, a commonly used instrument for the Big Five personality traits. We also test commonly used instruments for lower-order constructs such as locus of control, self-esteem, perceptions about the causes of poverty, attitudes towards change, organization, tenacity, metacognitive ability, optimism, learning orientation, and self-control. The majority of these subscales are derived from a set of questions asking the respondent the level at which they agree or disagree with general statements about themselves, with answers on a Likert scale from one to five.<sup>7</sup>

In addition, we asked a set of locus-of-control questions with visual aids in which people are asked to attribute success to effort and good decisions, luck, or endowments. We also included the CESD, a commonly used depression scale, validated in many developing countries, as it relates to some noncognitive domains captured in other scales (neuroticism and optimism). A standard risk aversion game and time preference questions were added, for comparison and completeness.

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7. The causes-of-poverty subscale does not ask directly about the respondents themselves but uses a Likert scale to ask about reasons for why poor people are poor.

Table A1.B in Online Appendix C presents all items, and the first column indicates the subscale for each item. As is the case in the original scales, some questions are positively coded, indicating that a higher likelihood to agree with the statement indicates a higher score on the relevant noncognitive trait, while others are reverse-coded. The last column in Table A1.B shows which questions are reversed.<sup>8</sup> While the pilot revealed that reverse-coded questions were sometimes harder to understand (often due to negative phrasing), care was given to keep approximately equal number of positively and reverse-coded items, as they are key to detect acquiescence bias. For a few questions a binary choice was used instead of a Likert scale.

### 3. Technical skills

There are no standardized scales that measure technical skills, reflecting the fact that agricultural knowledge can be very specific to a geographical area, crop, and type of inputs or practices. That said, different types of questions can be found in the literature, reflecting different underlying ideas about which knowledge could be the most relevant: questions on the timing at which inputs should be used, how to apply the inputs (quantity, location, etc.), knowledge of both basic and more complex practices (spacing, rotation, composting, conservation), and general knowledge (the active ingredients in certain fertilizers). On the basis of this categorization, we then worked with local agronomists to design a module aimed at capturing agricultural knowledge relevant for the farmers in the study population, covering production of the main crops and the use of the most common practices and inputs in Western Kenya. We use a mix of open questions and multiple-choice questions. Some questions allow multiple answers, and a subset of questions had visual aids (for example, pictures of inputs). The set of questions covered a relatively broad spectrum of practices, including a set of questions on maize, banana, soybean, soil fertility practices, composting, and mineral fertilizer. Table A1.C in Online Appendix C presents all questions, and the first column indicates the subscale for each of the questions.

### 4. Piloting and questionnaire preparation

We conducted extensive piloting of these modules and questions. Qualitative piloting allowed testing face validity, by asking qualitative follow-up questions regarding the understanding of the questions and the meaning/reasoning of the answers. After qualitative piloting, an extended version of the skill questionnaire was piloted in November 2013 on 120 farmers in Siaya, close to the study area, and on farmers who had been selected in a similar way as those of the actual study population. A small subset of these farmers was also retested in December 2013 with the same survey instrument, in order to obtain retest statistics of the pilot. On the basis of this quantitative pilot, we eliminated questions with little variation.<sup>9</sup> As a consequence of the extensive piloting, the results presented here should be interpreted as representative for studies with similar piloting, but an understatement of the amount of noise in the case of studies with limited effort to adapt the survey instruments and train enumerators.

8. For neuroticism and CESD, we use reverse coding to refer to higher levels of neuroticism and stress, as lower neuroticism and stress should imply a higher noncognitive score.

9. For instance, experience with pesticides or irrigation is extremely limited in the population of study, so any related questions did not provide variation.

We also removed questions that showed negative correlations with other variables meant to capture the same latent trait, and we fine-tuned phrasing and translation of questions.<sup>10</sup> The final survey instrument took about 2.5 hours to complete. The vast majority of farmers in the sample (97 percent) were native Luo speakers (the local language) and were interviewed in Luo. The others were more comfortable in Swahili or English (Kenya's two official languages) and hence were interviewed in their language of choice. The English language survey therefore was translated to both Luo and Swahili. All versions were homogenized after independent back translation.<sup>11</sup>

### *C. Alternative Measures of Skills*

Prior to the set of questions in the three main modules described above, respondents were asked their self-assessment for the same set of skills via a set of 14 questions, formulated to proxy the different subdomains captured by the questions in the main modules. After answering all questions from the three main modules, each farmer was asked to assess the skill level of one of the other farmers from their village in the sample using similar proxy questions. This provides an independent (though clearly subjective and possibly mismeasured) assessment. A second proxy measure comes from asking the same questions to another household member (typically the spouse) also involved in farming. A third independent measure was obtained prior to the survey from the village health worker, who was asked to classify each farmer according to his cognitive, noncognitive, and technical abilities, using a broad categorization (high, medium, low). The predictive power of these three proxy measures can be compared with the predictive power of the detailed skills measures, an issue we turn to in Section IV.

### *D. Randomization of the Survey Instrument and Fieldwork*

To understand the drivers of measurement error, an important focus of the study was the extent to which the order of answers, of questions, and of modules, or any unobserved enumerator effects might affect answers. The data collection was done using mini laptops and a program specifically designed to randomize the different components of the questionnaire. The order of the three main modules (cognitive, noncognitive, and technical) was randomized, which allows us to control and test for potential survey fatigue and to assess whether some tests tend to modify the responses of the following questions. The order of the questions within a module was randomized to control for potential learning caused by the preceding questions. In all multiple-choice questions, the order of the answers was also randomized. In order to test for enumerator effects, we

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10. For the noncognitive module, a relatively large set of questions was identified with either very little variation (everybody agreed with a certain positive statement), or a bimodal distribution, typically in the case of reverse-coded questions. In extreme cases this led to negative correlations between variables that should capture the same latent trait.

11. Back-translation initially revealed a substantial number of questions with translation problems, in particular in the noncognitive part. As noncognitive questions are often more abstract and use concepts that are not part of daily vocabulary, finding the appropriate translation can be a challenge. For modules, translations and back-translations were compared, and we worked together with native Luo and Swahili speakers to finalize translations to assure that the original meanings of the questions were maintained (and hence to know which questions we are in fact testing). We suspect that similar translation issues affect other surveys that attempt to obtain answers related to more abstract concepts.

also randomly assigned respondents to enumerators. For the retest 40 percent of households was assigned to the same enumerator. Survey teams were allowed to deviate from the random assignment for logistical reasons. Overall compliance with the enumerator assignment was about 75 percent. Finally, we randomized the order in which the villages were surveyed to evaluate effects related to enumerators learning or changing how they administrate the survey over time.

### ***E. Training and Data Collection***

Prior to survey implementation, all field personnel participated in an intensive two-week training, with both classroom and field training and extensive practice to guarantee fluent and correct implementation of the different skill measurements. The first round of the survey began January 20, 2014, after the harvest of the 2013 agricultural season, and took approximately three weeks. The retest survey was conducted in the following three weeks. A small household and farm survey was implemented in parallel and provides the agricultural outcome variables. All survey activities, including tracking of harder-to-reach respondents, were finished by the end of March. Almost all surveys were conducted before the start of the main agricultural season in 2014. Additional survey rounds were implemented at the end of the following four agricultural seasons, with information on production outcomes and practices, and are used to investigate which skills best predict these economic outcomes.

## **III. Reliability and Validity of Different Skills Constructs**

We aim to test the reliability and validity of the different skill measures. Reliability indicates the share of informational content (rather than noise) of a measure of a given skill, and validity indicates whether it actually measures what it intends to measure. To do so we calculate for each measure the test–retest correlation, a pure reliability measure, and Cronbach’s alpha, which is affected both by the noise and the extent to which items are measuring the same underlying construct (construct validity). We also test the predictive validity by analyzing whether the skill measures predict different agricultural outcomes to which they are theoretically expected to be correlated. [Online Appendixes A and B](#) provides a detailed methodological explanation of the different tests and methods used.

For each domain (cognitive, noncognitive, and technical skills), we construct different measures. By comparing the reliability and validity of these different constructs, we demonstrate the importance of accounting for response patterns and latent factor structure. A “naive” score aggregates the different questions using the existing subscales meant to measure certain abilities as they were included in the survey instrument. This has the advantage of simplicity and transparency and mimics what is often done in practice. We also construct alternative “improved” aggregate measures, relying on different corrections to extract the most relevant information from the available items—we use exploratory factor analysis to determine the number of factors in each construct, item response theory to further improve the cognitive and technical constructs, and correct for acquiescence bias in the noncognitive construct.

### ***A. Exploratory Factor Analysis, Acquiescence Bias Corrections, and Item Response Theory***

The results of the exploratory analysis indicate that the cognitive and technical skills can best be measured by one factor each, while the underlying latent factors for noncognitive skills corrected for acquiescence bias are best captured by six factors ([Online Appendix Table A2](#)). For the noncognitive skills, the factor analysis does not result in a clear categorization of variables into the theoretical scales and subscales (see [Online Appendix B3](#) for details), and most factors seem to have a mix of items from different subconstructs (in theory meant to be measuring different latent skills).<sup>12</sup> Overall these results raise concerns about whether the scales actually measure what they intend to measure. We use the factor loadings to aggregate the different noncognitive skills and label each factor based on the type of questions that most frequently appear with high factor loads in the construct (Table 1). To obtain the aggregated noncognitive skills construct, we use the average of the six factors.

Prior to the factor analysis, all variables were corrected for acquiescence bias (see [Online Appendix B1](#)), building on methods from psychometrics that use agreement to contradictory statements to measure the acquiescence bias. McCrae et al. (2011) find that not correcting for acquiescence bias prior to factor analysis often results in the emergence of a factor representing the response pattern.

For the cognitive and technical skills, item response theory imposes further structure on a set of items to measure an underlying latent ability or trait. It assumes that the probability of getting the correct answer to a question (or a higher score on a given item) depends on the unobserved ability of the respondent and some parameters of the question, estimated simultaneously (see detailed explanation in [Online Appendix B5](#)).

### ***B. Reliability and Construct Validity***

#### *1. Test–retest correlation*

To test reliability, we calculate the correlation between the same construct measured twice over a period of three weeks (see [Online Appendix A3](#) for details). The first column of Table 2, Panel A shows the test–retest correlations of the “naive” aggregate and of the subconstructs by predefined subdomains. The results vary widely. The cognitive naive construct reaches a test–retest correlation of 0.83 (with the test–retest for individual tests between 0.37 and 0.82, but only the digit span subconstructs lower than 0.6), indicating a high degree of reliability, comparable to what is often obtained in lab or classroom conditions. In contrast, the noncognitive and technical test–retest correlations are 0.54 and 0.31, respectively, which is strikingly low given the large set of items used to compute them.<sup>13</sup> This probably points to a large role for guessing and possibly general uncertainty about the answers. Unsurprisingly, given that the number of items reduces the noise, subconstructs perform worse than the aggregate constructs. Among

12. This means, for example, that a question that is expected to measure agreeableness and a locus-of-control question can better correlate together (and thus be assigned to the same underlying factor) than two locus-of-control questions.

13. For comparison, in meta-analysis of personality scales, mostly in developed countries, prior research has found average test–retest correlations between 0.73 and 0.82 (Schuerger, Zarrella, and Hotz 1989; McCrae et al. 2011).

**Table 1**  
*Factor Loads of Noncognitive Items (Corrected for Acquiescence Bias)*

Factor	Label	Items with Factor Loadings Higher than 0.3
Factor 1	CESD	16 negatively phrased CESD
Factor 2	Conscientiousness	10 Big Five personality questions (5 conscientiousness, 2 neuroticism, 1 agreeableness, 1 openness)
Factor 3	Tenacity	3 tenacity questions
	Locus of control	4 locus-of-control questions
	Metacognitive	2 Metacognitive questions
	Other	6 Big Five personality questions (3 agreeableness, 2 openness, 1 extraversion)
Factor 4	Causes of poverty	1 causes of poverty; 1 optimism
Factor 5	Attitudes towards change	5 reverse items of causes of poverty scale 4 attitude towards change
	Other	5 Big Five personality questions (2 extraversion, 1 conscientiousness, 1 neuroticism, 1 openness)
		Locus of control with visual aid
Factor 6	CESD positive	4 CESD positively phrased items
	Self-esteem	2 self-esteem
	Other	Risk aversion, 1 attitude to change, 1 optimism, 1 tenacity

the noncognitive ones, test–retest statistics are slightly higher for locus of control, CESD, and causes of poverty than for other subconstructs.<sup>14</sup>

The first column of Table 2, Panel B provides the test–retest correlations of the “improved” constructs and subconstructs, calculated as described in Section III.A. Compared to the naive constructs, the test–retest statistics are marginally higher for the cognitive and noncognitive skills and substantially higher for the technical construct (from 0.31 to 0.41). Hence, the use of item response theory, factor analysis, and correction for acquiescence bias substantially improves the reliability of the constructs. That said, test–retest statistics remain below standard thresholds for the noncognitive subconstructs and particularly low for the technical skill construct.<sup>15</sup>

14. For CESD, it is a priori not clear that answers should be stable over three weeks, as the reference period of the questions is the last week, and as mental health might be malleable in the short run. But in related work, Krueger and Schkade (2008) find that the test–retest reliability of a general life satisfaction question was no better than questions asking about effective experience on specific days, and they attributed this to transient influences influencing the former.

15. The fact of being surveyed during the initial test may affect the answers in the retest, and hence the test–retest statistic. Online Appendix Table A4 shows that indeed scores are slightly higher in the retest for all three skill constructs. To the extent that scores increase for all respondents, this does not affect the test–retest statistics, as scores are standardized within survey round. Moreover, the standard deviations in the test and the retest for cognitive and noncognitive scores are very similar. They are, however, slightly lower for the technical scores in the

**Table 2**  
*Measures of Reliability and Internal Consistency*

Construct	Cronbach's Alpha			# of Items	Construct	Test-Retest Correlation	Cronbach's Alpha	# of Items
	Test-Retest Correlation	Alpha of Test	Alpha of Retest					
<b>Panel A: Naive Score</b>								
Cognitive	0.83	0.82	0.81	6	Cognitive (IRT)	0.86	0.82	6
Noncognitive	0.54	0.76	0.76	15	Noncognitive (factor)	0.56	0.70	6
Technical	0.31	0.45	0.48	5	Technical (IRT)	0.41	NA	1
Decomposition by subconstruct:								
Cognitive	0.60	0.70	0.73	9	Cognitive using IRT	0.65	Same as Panel 2A	
Oral math questions	0.82	0.77	0.77	12	Oral math questions	0.80		
Reading	0.64	0.88	0.88	36	Reading	0.61		
Raven	0.69	0.99	0.99	139	Raven			
Math (timed)	0.37	NA	NA	1	Math (timed)			
Digit span	0.46	NA	NA	1	Digit span			
Digit span backwards					Digit span backwards			
Noncognitive	0.49	0.56	0.62	9	Noncognitive six factors	0.43	0.84	18
Locus of control	0.32	0.28	0.36	4	CESED	0.28	0.75	17
Self-esteem	0.40	0.82	0.86	9	Conscientiousness/tenacity	0.32	0.71	19
Causes of poverty	0.37	0.37	0.43	5	LOC/metacog./openness	0.53	0.62	6
Attitude towards change	0.26	0.42	0.48	6	Causes of poverty (all negative)	0.38	0.60	14
Organization/tenacity/self-control					Attitudes towards change/beans			

(continued)

**Table 2** (*continued*)

Construct	Cronbach's Cronbach's				Construct	Test-Retest Correlation	Cronbach's Alpha	# of Items	Test-Retest Correlation	Cronbach's Alpha	# of Items
	Test-Retest Correlation	Alpha of Test	Alpha of Retest	# of Items							
Metacognitive ability	0.19	0.46	0.54	4	CESD positive/confidence/ risk aversion	0.30	0.56	11			
Optimism	0.22	0.17	0.26	3							
Risk aversion	0.12	0.21	0.03	2							
Patience	0.27	NA	NA	1							
Big 5 agreeableness	0.25	0.39	0.31	4							
Big 5 extraversion	0.23	0.33	0.37	4							
Big 5 conscientiousness	0.33	0.51	0.26	6							
Big 5 neuroticism	0.26	0.31	0.33	4							
Big 5 openness	0.15	0.37	0.43	5							
CESD	0.41	0.82	0.85	21							
Technical					Technical using IRT						
Intercrop/compost	0.21	0.18	0.15	7	Technical	0.41	0.54	32			
Maize	0.26	0.29	0.24	7							
Banana	0.17	0.19	0.17	6							
Soybean	0.13	0.13	0.11	4							
Fertilizer	0.29	0.44	0.50	11							

Notes: NA is not applicable; Cronbach's alpha cannot be calculated when there is only one item. IRT is item response theory. In Panel B noncognitive variables have been demeaned to correct for the acquiescence bias.

## 2. Cronbach's Alpha

Cronbach's alpha is one of the most widely used measures of internal consistency of a test. For a given number of items, it increases when the correlation between items increases. Hence it is higher when the noise of each item is low (high reliability) and when they actually measure the same underlying factor (indicator of high validity). See [Online Appendix A4](#) for details.

The second and third columns of Table 2, Panel A show the Cronbach's alpha of the naive constructs of the test and retest, while Table 2, Panel B provides similar statistics for the improved constructs.<sup>16</sup> The conclusions for the aggregate constructs parallel those obtained from the test–retest correlations. The Cronbach's alpha is above the commonly used threshold of 0.7 for the cognitive skill construct, somewhat acceptable in the case of the noncognitive skills, but substantially below the acceptable threshold in the case of the technical skills.<sup>17</sup> For comparison, Schmitt et al. (2007) find that the Cronbach's alpha of the Big Five in Africa for self-administered surveys among mostly college students ranged between 0.55 and 0.68, which was the lowest of all regions. For the same subconstructs, we find Cronbach's alphas between 0.31 and 0.51. The Cronbach's alphas do not differ much between the test and retest, which confirms that the retest is broadly comparable to the test. Cognitive subconstructs with a large number of items reach very high Cronbach's alphas, as does the CESD. The alpha for the naive causes-of-poverty subconstruct is also high, but recall that the factor analysis suggests this correlation may be driven by common response patterns rather than common meaning.

The Cronbach's alphas of the improved aggregate constructs are not higher than the ones of the naive constructs, but the ones of the six noncognitive factors generally show large improvements compared to the naive subconstructs. These two observations are partly mechanical given that the factor analysis pools together items with higher correlations in the subconstructs, and the correlation between factors is minimized through the quartimin rotation.<sup>18</sup> The technical skills construct reaches a Cronbach's alpha of 0.54, which remains quite low given that it includes 32 items. This suggests that farmers' knowledge might be idiosyncratic (with different farmers having different pieces of knowledge), and it is therefore hard to aggregate in a knowledge score.

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retest than in the test, potentially indicating a learning effect by either the respondents, the enumerators, or both. [Online Appendix Table A.12](#) shows that the item-level changes in correct answers for the technical items, further suggesting some learning. Results in Section IV further suggest that at least part of this learning is enumerator related. Such learning does not appear to explain the low test–retest statistics, however, as removing items that show a significant difference between average test and retest scores (nine items with 1 percent significant difference, or 16 items with 5 percent significant difference) leads to test–retest statistics between 0.32 and 0.35.

16. Interpretation of Cronbach's alpha should take into account that it tends to increase with the number of items.

17. The high Cronbach's alpha of the cognitive construct is consistent with [Table A1.A in Online Appendix C](#) showing that scores of the five subcomponents are highly correlated with each other. The correlations are highest among skills most clearly acquired in school (reading and the two math tests) and a bit lower with the more general cognitive tests (Raven and digit span). Correlations are also high with grades of education attained and self-assessed literacy.

18. When we do not apply the new factors' weights, but only correct scores for the acquiescence bias, neither the alpha's nor the test–retest systematically improve ([Online Appendix Table A5](#)).

### C. Predictive Validity

In the psychometric literature, predictive validity consists in testing whether the skills constructs correlate with outcomes that should, in theory, be correlated with the skills. Hence, in this section we first look at correlations, then run regressions to test the extent to which skills predict agricultural productivity and practices. The estimates capture conditional correlations and are not meant to reflect particular causal relationships. Observed correlations may be driven by the fact that (i) the skills affect agricultural decisions and outcomes, (ii) the agricultural outcomes are determinants of skills formation, and (iii) some other variables are correlated with both skills and agricultural outcomes, making skills a potential confounder if not observed. Nonetheless, independently of which one of these factors drives the correlation, a high predictive power indicates that improving skills measures can contribute to a better understanding of agricultural productivity.

#### 1. Correlations with other variables

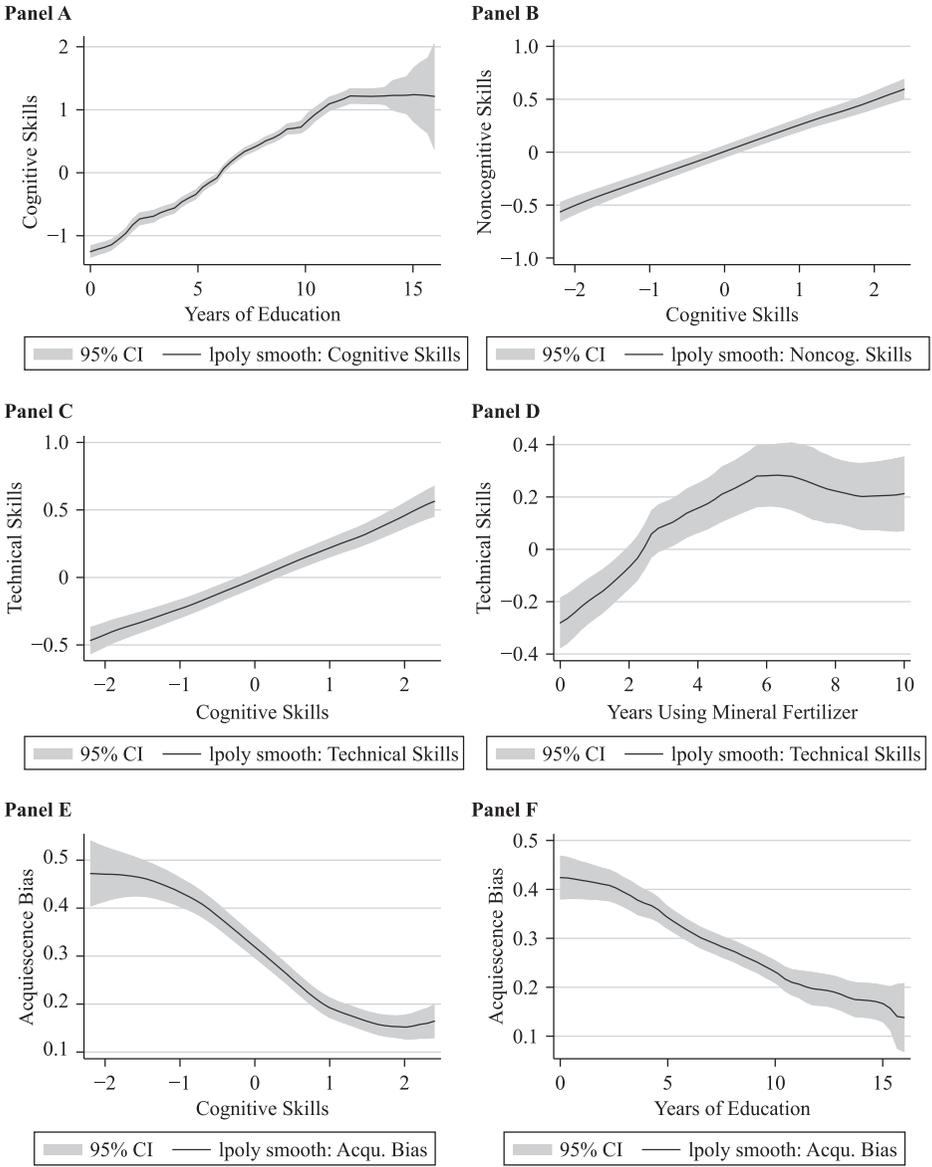
Before turning to the regressions, in Figure 1 we show the unconditional correlations of the skill constructs as a first form of validation. Figure 1 and [Online Appendix Table A1](#) show a strong relationship between measures of cognitive skills and grades of education attained or self-assessed literacy.<sup>19</sup> The relationship between the number of years using mineral fertilizer and technical skills is also relatively strong. This provides some validation, but is also a reminder that the direction of causality is hard to infer. A farmer may know more about fertilizer because they have been using it for a while, or may have been using it exactly because they knew about it. Figure 1 also shows a relatively strong positive correlation between cognitive, technical, and noncognitive skills. This points to the importance of studying the different skills together rather than independently to avoid wrongly attributing to a skill the effect of other correlated skills.

#### 2. Yield predictions by construct

The key outcome variable we use to test predictive validity is maize yield. Prior research has shown how skills or personality traits relate to job performance or wages in different contexts (Borghans et al. 2008). By contrast there is relatively less evidence about the relationship between skills and agricultural outcomes, perhaps because yield in rainfed agriculture is known to be a particularly noisy outcome variable, subject to many parameters other than skills, including weather conditions, soil characteristics, and availability of inputs or credit. Besides this, high-skill individuals are likely to have transitioned out of agriculture, leaving a selected sample (Lagakos and Waugh 2013).<sup>20</sup> But the relationship between skills and agricultural

19. The cognitive construct is highly correlated with the respondent's reported education, with 59 percent of the variation in the cognitive score explained by grades attained and self-declared literacy. Respondents' education also explains a relatively large share of the variation in the noncognitive (19 percent) and technical (11 percent) skills, though less than for the cognitive skills.

20. We find a significant correlation of 0.14 ( $p < 0.0001$ ) between a binary indicator variable of self-employment in agriculture as the main occupation of the household head and cognitive ability (but no significant correlation with noncognitive skill and agricultural knowledge). This likely implies that cognitive ability in our sample has a lower mean and variance than in a representative sample of the entire population, making it more difficult to capture its relationship with yield.



**Figure 1**  
*Relationships between Constructs and Other Indicators*

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productivity deserves to be investigated simply because agriculture is the primary activity of a large share of poor people worldwide.

Our study addresses these challenges through the following strategies. First, in order to limit the consequences of measurement errors and variability in the outcome variable, we use the average rank of yield over the four seasons following the completion of the skills data collection (with ranks rescaled from zero for the lowest yield to 100 for the highest).<sup>21</sup> Second, the comprehensive skills surveys and combination of the two surveys reduce the noise of the explanatory variables. Third, village fixed effects absorb a large share of spatial variation related to climatological, agroecological, and economic conditions and imply that we focus on intravillage variation.<sup>22</sup> Fourth, we intentionally do not control for intravillage differences in soil characteristics, access to credit, nor any other input factors as they are (at least in part) a reflection of how skills (and related past actions) affect agronomic outcomes. Finally, virtually all farmers in the region produce maize, so maize yield is a useful common indicator of land productivity. Beyond yield, we also look at input use, without the prior that more input is necessarily better, but simply because their use should vary in function of the different skills. In all regressions, because random measurement error causes attenuation bias and a reduction of the  $R^2$ , an increase in the coefficients of the normalized skills measures and in the  $R^2$  are signs of measures that are less noisy.<sup>23</sup>

We test how much of the variation in yield is explained by the measures of cognitive, noncognitive, and technical skills by regressing yield on the different skill constructs.<sup>24</sup> The first five columns in Table 3 do not include controls and demonstrate the share of variation explained by the three skill constructs ( $R^2$ ). Columns 6–10 report results with controls and show whether the skill measures remain significant after controlling for observed farmer, household, and village characteristics.<sup>25</sup> Significant coefficients on skills in the later regression, with controls, point to the potential of skill measures to capture otherwise unobserved characteristics.

The results are presented for four different types of constructs: the naive constructs, improved constructs, the naive constructs averaged over test and retest, and the improved constructs averaged over test and retest. The comparison of estimates with the naive constructs versus the improved constructs indicates how much gain in predictive power comes from aggregating the items in a way that better accounts for measurement errors. The comparison of the improved constructs with the test–retest averages shows to

21. We use the rank because it is less sensitive to extreme values (Athey and Imbens 2017). [Online Appendix Tables A8–A11](#) show similar regressions using the average of the log of the yield for the same seasons. The results are qualitatively similar but less precise.

22. Variation in climatological and agroecological factors that differ between villages may well be correlated with both skills (by affecting selective outmigration) and yield.

23. We intentionally put little structure. If skills matter, one should observe significant correlations independently of the channels. Significant correlations of skills with yield would be consistent with skills mattering despite other constraints faced by the farmer, or because it helps release them. We leave the task of better understanding how these constraints interact with skills to other research and focus on improving the measures in order to facilitate such work.

24. Our interpretations assume no correlation between measurement errors in noncognitive skills and measurement errors in the reporting of yields. If such correlation exists, then the explanatory power would likely be overestimated with respect to the prediction of true yields.

25. Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, and household head's gender. We also include village and enumerator-assignment fixed effects. We use the randomly assigned enumerator as opposed to the actual enumerator, as only the former is exogenously determined.

**Table 3**  
*Regressions of the Average Rank of Maize Yield across Seasons on Skill Constructs*

	Skills Constructs Used as Regressors									
	Naive Score (1)	Improved Index (2)	Mean Naive Score (3)	Mean Improved Index (4)	Mean Improved Index (5)	Naive Score (6)	Improved Index (7)	Mean Naive Score (8)	Mean Improved Index (9)	Mean Improved Index (10)
Cognitive skills	2.80*** (0.802)	2.38*** (0.787)	2.40*** (0.869)	1.83** (0.859)	3.88*** (0.832)	2.85** (1.267)	3.06** (1.267)	3.85*** (1.230)	3.97*** (1.267)	4.72*** (1.229)
Noncognitive skills	3.78*** (0.742)	3.54*** (0.737)	4.60*** (0.902)	4.03*** (0.892)	5.00*** (0.924)	3.72*** (0.782)	3.72*** (0.787)	4.27*** (1.015)	3.95*** (0.975)	4.32*** (0.978)
Technical skills	3.58*** (0.911)	4.38*** (0.884)	5.25*** (1.100)	6.11*** (1.017)		0.63 (1.013)	1.40 (0.964)	1.94 (1.203)	2.64** (1.183)	
Observations	900	890	900	890	890	900	890	900	890	890
R <sup>2</sup>	0.107	0.127	0.127	0.144	0.106	0.378	0.386	0.387	0.395	0.390
Controls	No	No	No	No	No	Yes	Yes	Yes	Yes	Yes
R <sup>2</sup> adj. (w/o controls)	0.104	0.124	0.124	0.141	0.104	0.269	0.277	0.280	0.287	0.282
F-test	0	0	0	0	0	1.16e-06	2.69e-08	3.27e-08	3.96e-10	1.95e-10
F-test diff.	0.751	0.357	0.210	0.0299	0.470	0.0490	0.213	0.329	0.681	0.831

Notes: Dependent variable is the average rank of maize yields calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects, and enumerator-assignment fixed effects. Standard errors clustered at village level in parentheses. Significance: \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

what extent the improved constructs yield similar results to averaging over multiple waves, an alternative but costly method to reduce random measurement error. Finally, the test–retest average of the improved constructs provides our best estimate of the role of skills, using all means available to get the most reliable constructs.

Results in Table 3 broadly show that the three types of skills matter, as all three coefficients are significant. Combined, the measures explain a substantial share of the variation in yields. The  $R^2$  of the naive constructs without any control is 12.7 percent (Column 1), compared to 14.4 percent when using the improved constructs (Column 2). Interestingly, this last figure is the same as the  $R^2$  obtained when averaging the naive scores of test and retest (Column 3). Hence, a better method to aggregate the information from the different items leads to as much improvement as the use of a second wave (which doubles the cost of data collection). The combination of both the improved method and averaging test and retest further raises the  $R^2$  to 14.4 percent, providing our most reliable estimate of the contribution of the different skills to explaining variation in yields. This is likely still an underestimate of the explanatory power of skills, as we know from Section III.B that the improved constructs remain fairly noisy.

The estimations with controls (Columns 6–9) show that these conclusions stand even after controlling for observables. Skills are jointly significant, and remarkably, cognitive skills remain significant even after controlling for education and literacy. Comparing across columns, the largest improvement in significance and size of the coefficients from cleaning up measurement error is seen in the technical construct. This is consistent with the fact that this was the noisiest construct according to test–retest and Cronbach’s alpha. Column 4 further suggests that technical skills may be more important than other skills once measurement error is addressed, though this conclusion does not hold when adding controls (Column 8). Hence, more generally, the evidence shows that all three skills matter for agricultural productivity, but properly capturing this effect requires substantial effort, both in data collection and aggregation method.

Finally Columns 5 and 10 use the most reliable constructs (improved average across test and retest) but do not include technical skills. This could be important because cognitive and noncognitive skills may have effects on yields that operate through technical knowledge, possibly attenuating their coefficients when technical skills are controlled for. Indeed, both coefficients increase when the technical skill construct is removed.<sup>26</sup> This specification also assesses the relative importance of cognitive versus noncognitive skills and suggests that both are equally important for productivity, a result that parallels results on the importance of skills in U.S. labor markets in Heckman, Stixrud, and Urzua (2006) and Heckman and Kautz (2012). The point estimates suggest that a one standard deviation increase in cognitive or noncognitive skills increases the average rank of maize yield by four to five percentage points.<sup>27</sup>

### 3. Yield predictions by subconstruct

The level of aggregation used in Table 3, with one aggregate construct to measure each of the domains, is higher than what is often used in empirical work on skills. We thus

26. Comparing Columns 1, 4, and 5 in Table 3, note that the cognitive skill construct loses explanatory power as the precision of the technical skill construct increases, but gains significance when it is removed. This suggests that the effect of cognitive skill on yield could be operating through its effect on technical knowledge.

27. This corresponds to about 21 percent of a standard deviation in the average rank of yield.

also present predictions separating out the different cognitive tests, the subscales for personality and lower order constructs, and subscales of technical skills by broad topic. Table 4 first presents estimates using the naive subconstructs with variables measured as  $z$ -scores. The regressions are estimated with controls, but the adjusted  $R^2$  in absence of controls is added in the lower part of Table 4.

Using subconstructs increases the adjusted  $R^2$  for the predictive model for yields from 10.4 percent with naive constructs to 12.5 with the subconstructs. However, the  $F$ -test for joint significance of cognitive tests is also low and insignificant. The same holds for the technical skills. This is consistent with the earlier finding that test–retest and alphas are lower for the subconstructs than for the aggregate constructs, indicating measurement error is introduced in the regressions with the subconstructs, making it difficult to assess their relationship with yields.

In contrast, we find a few significant correlations with the noncognitive subscales. The 15 noncognitive subconstructs are jointly significant. The few significant results suggest that causes of poverty, tenacity, agreeableness, and CESD might have some predictive power for yields, but coefficients are only marginally significantly different from each other. To illustrate the risk of drawing erroneous conclusions from this type of regression, Columns 2–6 present similar regressions where we only keep one subconstruct at a time, using each component of the Big Five. In four out of five cases the coefficient is significant. We only present the Big Five for conciseness, but 10 out of the 15 coefficients are significant when they are the only noncognitive variable in the estimate. When a noncognitive subconstruct is used as an explanatory variable without other measures of noncognitive skills, the latter ones are likely to be omitted variables, suggesting the observed effect should not be attributed to the subconstruct used in the regression.

Table 5 shows similar regressions, but now using the improved constructs and keeping the number of factors suggested by the exploratory factor analysis. Column 1 shows the cognitive construct becomes significant, as do the first and fourth noncognitive factor. The latter mirrors the findings from Table 4, as the first factor is basically the CESD, while the fourth factor is dominated by the reverse questions of the causes of poverty. Importantly for the interpretation, the coefficients of the noncognitive factors are not significantly different from each other, and Columns 2–7 further illustrate that all but one are significant when they are included without the others. Hence, even the estimates with the improved constructs do not allow us to clearly discriminate between noncognitive skills. We conclude that while noncognitive skills matter for productivity, the data do not allow us to infer which of the noncognitive skills matter.

#### 4. Predictions of agricultural practices

We complement the analysis with regressions on key agricultural practices, averaged over the four seasons. We analyze to what extent the different skill measures are predictive for the use of mineral fertilizer, manure, hybrid seeds, multiple-time weeding, and hiring labor.<sup>28</sup> The estimates with the improved constructs (Table 6) show that technical skills are positively correlated with a number of advanced farming practices, an encouraging sign for its validity. As for yield, the noncognitive construct is also

28. We focus on these practices as they show meaningful variation between households and across time and can reasonably be expected to correlate to some of the domains we are trying to measure. We exclude other practices, such as row planting, which virtually all farmers in this context use.

**Table 4**  
*Regressions of the Average Rank of Maize Yield on Naive Skill Subconstructs*

	(1)	(2)	(3)	(4)	(5)	(6)
Oral math questions	-0.73 (1.137)	-0.14 (1.186)	-0.03 (1.208)	-0.06 (1.200)	0.01 (1.191)	-0.04 (1.193)
Reading	1.67 (1.200)	1.91 (1.207)	1.86 (1.186)	1.94 (1.192)	1.91 (1.181)	1.91 (1.203)
Raven	0.97 (1.131)	1.14 (1.172)	1.07 (1.168)	0.98 (1.173)	1.06 (1.153)	1.04 (1.182)
Digit span	0.60 (0.779)	0.54 (0.763)	0.59 (0.773)	0.59 (0.771)	0.68 (0.751)	0.65 (0.768)
Math (timed)	1.58 (1.221)	2.17* (1.148)	2.13* (1.148)	2.22* (1.155)	2.07* (1.146)	2.15* (1.145)
CESD	2.34*** (0.820)					
Locus of control	-0.03 (1.094)					
Self-esteem	-0.36 (0.810)					
Causes of poverty	2.46** (0.940)					
Attitude towards change	-0.53 (0.764)					
Tenacity/organization	2.73*** (0.833)					
Metacognitive	0.24 (0.782)					
Optimism	0.93 (0.802)					
Risk aversion	-0.72 (0.714)					
Big 5 agreeableness	0.95 (0.888)	1.86** (0.834)				
Big 5 extraversion	0.35 (0.799)		1.14 (0.752)			

(continued)

**Table 4** (continued)

	(1)	(2)	(3)	(4)	(5)	(6)
Big 5 conscientiousness	-1.23 (0.959)			1.19* (0.712)		
Big 5 neuroticism	0.59 (0.723)				1.87*** (0.643)	
Big 5 openness	-0.13 (0.852)					0.75 (0.825)
Other noncognitive	0.32 (0.763)					
Intercrop/compost	0.06 (0.834)	-0.21 (0.841)	-0.21 (0.840)	-0.22 (0.848)	-0.26 (0.839)	-0.21 (0.846)
Maize	0.66 (0.853)	1.09 (0.830)	1.21 (0.839)	1.16 (0.834)	1.01 (0.847)	1.18 (0.845)
Banana	0.74 (0.913)	0.85 (0.885)	0.64 (0.875)	0.63 (0.880)	0.61 (0.865)	0.70 (0.879)
Soybean	-0.06 (0.820)	-0.26 (0.822)	-0.19 (0.827)	-0.21 (0.825)	-0.23 (0.815)	-0.21 (0.824)
Fertilizer	0.20 (0.852)	0.71 (0.848)	0.70 (0.846)	0.74 (0.845)	0.75 (0.842)	0.74 (0.845)
Observations						
$R^2$	897	899	899	899	899	899
$R^2$ adj.	0.408	0.377	0.373	0.373	0.377	0.372
$R^2$ adj. (w/o controls)	0.125	0.101	0.106	0.102	0.108	0.102
$F$ -test (cog.)	0.149					
$F$ -test (noncog.)	0.0017					
$F$ -test (tech.)	0.878					
Test NC diff.	0.0917					

Notes: Dependent variable is the average rank of maize yields calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects, and enumerator-assignment fixed effects. NC is noncognitive. Standard errors clustered at village level in parentheses. Significance: \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

strongly predictive. In contrast, the cognitive construct is not (if anything, there is a negative relationship with weeding), suggesting that the relationship between cognition and yield is not driven by decisions regarding these practices. The overall predictive power of the skills varies widely between practices. Skills basically explain none of the variation in the use of manure, while they explain up to 11 percent of the use of hybrid seeds.

**Table 5** Downloaded from by guest on April 18, 2024. Copyright 2019  
*Regressions of the Average Rank of Maize Yield on Improved Skill Subconstructs*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Cognitive skills (IRT)	2.58* (1.343)	3.53*** (1.271)	4.13*** (1.239)	3.63*** (1.290)	2.95** (1.284)	4.09*** (1.244)	4.00*** (1.220)
NC Factor 1 (CESD)	1.98** (0.770)	2.55*** (0.769)					
NC Factor 2 (Conscientiousness/tenacity)	-0.17 (0.900)		1.45** (0.722)				
NC Factor 3 (LOC/metacog./openness)	0.40 (0.773)			1.67** (0.725)			
NC Factor 4 (Causes of poverty, negative items)	2.59** (1.088)				3.48*** (0.721)		
NC Factor 5 (Attitude towards change/LOC_va)	-0.06 (0.741)					0.55 (0.734)	
NC Factor 6 (CESD positive/self-esteem/risk av.)	1.08 (0.723)						2.19*** (0.637)
Technical skills (IRT)	1.17 (0.969)	1.68* (0.985)	1.88* (0.968)	1.79* (0.983)	1.34 (0.962)	1.88* (0.985)	1.70* (0.995)
Observations	890	890	890	890	890	890	890
$R^2$	0.391	0.380	0.374	0.374	0.384	0.371	0.377
$R^2$ adj. (w/o controls)	0.279	0.270	0.262	0.263	0.274	0.259	0.267
$F$ -test (cog.)	0.121	0.113	0.111	0.110	0.119	0.109	0.109
$F$ -test (noncog.)	0.0575						
$F$ -test (tech.)	1.55e-05						
Test NC diff.	0.230						

Notes: Dependent variable is the average rank of maize yields calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects, and enumerator-assignment fixed effects. IRT is item response theory, LOC is locus of control, NC is noncognitive. Standard errors clustered at village level in parentheses. Significance: \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

**Table 6**  
*Regressions of Agricultural Practices on Skill Constructs*

Skills	Naive Scores Used as Regressors					Mean Improved Indexes Used as Regressors				
	Mineral Fertilizer	Manure	Hybrid Seeds	Multiple Weeding	Hiring Labor	Mineral Fertilizer	Manure	Hybrid Seeds	Multiple Weeding	Hiring Labor
Cognitive skills	0.00 (0.017)	0.02 (0.017)	-0.00 (0.020)	-0.04* (0.022)	0.02 (0.022)	0.01 (0.019)	0.01 (0.018)	-0.01 (0.025)	-0.07*** (0.023)	0.01 (0.028)
Noncognitive skills	0.03** (0.013)	-0.01 (0.015)	0.03** (0.014)	0.03** (0.015)	0.03* (0.015)	0.04*** (0.015)	-0.01 (0.019)	0.05** (0.019)	0.05*** (0.017)	0.04** (0.019)
Technical skills	0.01 (0.013)	0.02 (0.018)	0.05*** (0.015)	0.00 (0.017)	0.03* (0.016)	0.05*** (0.016)	0.02 (0.019)	0.07*** (0.019)	0.02 (0.016)	0.06*** (0.022)
Observations	900	900	900	900	900	890	890	890	890	890
R <sup>2</sup>	0.520	0.320	0.371	0.299	0.266	0.532	0.319	0.381	0.306	0.276
Mean	0.679	0.606	0.460	0.576	0.564	0.679	0.606	0.460	0.576	0.564
R <sup>2</sup> adj.	0.435	0.200	0.260	0.176	0.136	0.448	0.197	0.271	0.182	0.146
R <sup>2</sup> adj. (w/o controls)	0.039	-0.001	0.080	0.005	0.015	0.075	-0.001	0.108	0.019	0.023
F-test	0.133	0.385	0.001	0.066	0.019	0.000	0.705	0.000	0.002	0.000
F-test diff.	0.359	0.246	0.171	0.029	0.915	0.336	0.676	0.125	0.001	0.367

Notes: Dependent variables are the averages of binary variables calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects, and enumerator-assignment fixed effects. Standard errors clustered at village level in parentheses. Significance: \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

**Table 7**  
*Regressions of Agricultural Practices on Improved Skill Subconstructs*

Skills	Mineral Fertilizer	Manure	Hybrid Seeds	Multiple Weeding	Hiring Labor
Cognitive skills (IRT)	0.01 (0.019)	0.03 (0.018)	-0.00 (0.021)	-0.04* (0.023)	0.01 (0.024)
NC Factor 1 (CESD)	0.01 (0.012)	-0.00 (0.011)	0.01 (0.012)	-0.01 (0.013)	0.00 (0.016)
NC Factor 2 (Conscientiousness/tenacity )	0.00 (0.014)	0.02* (0.013)	0.01 (0.015)	0.04*** (0.015)	-0.02 (0.015)
NC Factor 3 (LOC/metacog/openness)	0.01 (0.014)	0.00 (0.018)	-0.01 (0.014)	-0.01 (0.015)	0.02 (0.019)
NC Factor 4 (Causes of poverty, negative items)	0.00 (0.014)	-0.05** (0.019)	0.01 (0.020)	-0.00 (0.021)	0.02 (0.019)
NC Factor 5 (Attitude towards change/LOC_va)	0.01 (0.013)	-0.00 (0.016)	0.03** (0.014)	0.02 (0.014)	0.03** (0.015)
NC Factor 6 (CESD positive/self-esteem/risk av.)	0.02 (0.014)	0.02** (0.012)	-0.00 (0.013)	0.02 (0.014)	-0.01 (0.015)
Technical skills (IRT)	0.02 (0.013)	0.01 (0.017)	0.04*** (0.015)	0.00 (0.015)	0.04** (0.017)
Observations	890	890	890	890	890
$R^2$	0.522	0.325	0.377	0.315	0.274
Mean	0.679	0.606	0.460	0.576	0.564
$R^2$ adj.	0.432	0.199	0.261	0.187	0.139
$R^2$ adj. (w/o controls)	0.054	-0.001	0.090	0.023	0.019
$F$ -test (cog.)	0.703	0.154	0.844	0.085	0.763
$F$ -test (nongog.)	0.548	0.127	0.120	0.004	0.123
$F$ -test (tech.)	0.154	0.529	0.006	0.984	0.034
Test NC diff.	0.958	0.092	0.338	0.035	0.163

Notes: Dependent variables are the averages of binary variables calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects, and enumerator-assignment fixed effects. IRT is item response theory. NC is noncognitive. Standard errors clustered at village level in parentheses. \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

Table 7 presents results that separate out the different noncognitive constructs and shows that for four out of the five practices, as for yield, the data do not allow us to discriminate between the different noncognitive skills. The regression for weeding provides an interesting exception, as the factor that is dominated by conscientiousness is positively correlated with weeding, while many of the other factors have small and

negative coefficients. The  $F$ -statistic confirms the difference between the factors. Given the intuitive relationship between conscientiousness and efforts for weeding, this provides some validity to the improved noncognitive constructs.

#### IV. Further Understanding Measurement Challenges

Overall this set of results presents a mixed picture on the ability of the different tests and subscales to meaningfully measure the intended skills in the population studied. This section presents further evidence to consider the potential sources of measurement error and derives practical guidelines for the measurement of related skills in empirical work.

##### A. Interaction with Enumerators

Most tests were initially designed to be self-administrated. Yet in a rural developing-country setting, because many respondents are unable to read, the questions are typically asked by an enumerator. This may affect responses in multiple ways even after intensive efforts to harmonize practices during enumerator training. Drawing on the random assignment of enumerators to respondents, we therefore estimate to what extent answers are affected by enumerators. Table 8 shows the  $R^2$  of a regression of the improved constructs on enumerator fixed effects. Ideally one would like these fixed effects to have no explanatory power. Yet 4 percent of the variance of the cognitive skills can be explained by which enumerator asked the questions (significant at 10 percent level); this is up to 7 percent for technical skills and 9 percent for noncognitive skills (both significant at the 1 percent level).<sup>29</sup> This suggests a large amount of noise introduced by enumerators, possibly due to the level of explanations they provide or other unintended nudges.

We also compare the test–retest statistics when the same enumerator was assigned to a given respondent for the test and the retest compared to when a different enumerator is sent each time.<sup>30</sup> Standard practice for test–retest correlations is to have the test administered in similar conditions. Practically, however, test–retest correlations that are high with the same enumerator, but lower with different enumerators, could indicate the influence of the enumerator rather than the consistency of the measure. We find that assigning a different enumerator leads to a drop of 0.09 in the test–retest correlation of the cognitive construct (significant at 1 percent), 0.06 in the noncognitive one, and 0.07 in the technical one (significant at 10 percent). Hence, enumerator effects substantially reduce the reliability, confirming the nonnegligible role of enumerators for skill measurements.

29. As the regressions are based on the randomly assigned enumerator, and there were deviations from this assignment in 25 percent of interviews, these provide lower bound estimates of the variation explained by enumerator effects. We use randomized inference to calculate  $p$ -values (with 10,000 replications).

30. We assigned the same enumerator to test and retest in 40 percent of cases. As before, one would expect that the observed differences between the same and different enumerator assigned would be greater if the compliance was 100 percent.

**Table 8**  
*Test–Retest Correlations, Cronbach’s Alpha, and Influence of Enumerators by Subgroups*

Sample Split:	Test–Retest Correlation		Cronbach’s Alpha			$R^2$ of Enumerator FE			
	Enumerator Assigned for Test and Retest		By Cognitive Skill			By Cognitive Skill			
	Same	Different	Below Median	Above Median	All	Below Median	Above Median	All	
Cognitive	0.91	0.82 (0.000)	0.67	0.68	0.54	0.48	0.04	0.05	0.08 [0.407]
Noncognitive	0.60	0.54 (0.116)	0.50	0.46	0.63	0.67	0.09	0.16	0.11 [0.029]
Technical	0.45	0.38 (0.096)	0.25	0.44	0.46	0.55	0.07	0.15	0.12 [0.008]

Notes: The  $p$ -values between parentheses indicate significance of the difference between same and different enumerator assigned for test and retest.  $R^2$  of enumerator fixed effects (FE) is the  $R^2$  of a regression of the improved construct on (randomly) assigned enumerator fixed effects. The  $p$ -values between brackets provide the significance levels of the  $R^2$  values. All  $p$ -values were obtained by randomization inference (10,000 repetitions).

This is further confirmed when analyzing effects of being surveyed at a later stage during the survey round, that is, on days further away from the training, when standardization may be weakened. We use the random order in which the villages were surveyed, account for the imperfect compliance with the assignment through a 2SLS estimation, and find that technical scores are significantly higher for farmers surveyed on later dates during the test ([Online Appendix Table A6](#)).

These results point, first of all, to the importance of intensive training for standardized application of the different tests and for the potential need of restandardization during the survey rounds. This typically requires developing detailed scripts to be followed literally and avoiding idiosyncratic interpretation or clarifications by enumerators. Attempts at standardization alone may not be enough (as this study shows), and random assignment of enumerators to respondents is recommended to properly account for any remaining enumerator effects. For impact evaluations with skills measures, ensuring balance of enumerators between control and treatment groups should also help avoid bias. Moreover, when possible, it is worth considering introducing self-administration in at least part of the survey instrument.

### ***B. Respondent's Ability to Understand the Questions***

Another difference between the population studied and the population for which most tests were designed is the low educational level of the respondents, which can affect respondents' ability to understand the questions. To assess this, Table 8 presents test-retest correlations, Cronbach's alphas, and the share of the variation explained by enumerator effects, comparing respondents for whom the aggregate cognitive index is below versus above the median. Differences between the two groups do not point to any clear direction for the cognitive and noncognitive constructs. For the aggregate technical construct there are relatively large differences in the indicators across the two groups, all pointing towards higher reliability in the group with higher cognitive skills, so respondents' difficulties in understanding the technical knowledge questions may help explain measurement error.<sup>31</sup>

These findings indicate the importance of extensive qualitative piloting to probe the understanding by different types of respondents in detail, to be done each time skill measures are used in a new context. They also suggest the need to adapt standardized questions taken from international scales to make them understandable, even if it weakens some of the international comparability. There may also be a trade-off between easing understanding by the respondent and introducing enumerator effects, as questions requiring more explanations and enumerator initiative, such as questions involving visual aids, are harder to standardize. The challenges resulting from the need to translate concepts to languages that may not have the relevant equivalents and the complexity this introduces need to be understood better.<sup>32</sup>

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31. We also analyzed heterogeneity with respect to age, education, and gender. Splitting the sample by education level leads to similar conclusions as the split by cognitive ability. Results by age and gender do not allow pointing to subgroup of the population that clearly outperforms the other on the different measures. The psychometric indicators of noncognitive and technical skills do not pass the bar for any subsample of the population that we examined.

32. The noncognitive questions posed the largest challenges for translation, and understanding concepts such as "active imagination" or "generating enthusiasm" were difficult even for the (university-level-trained) enumerators.

### *C. Order of the Modules in the Survey*

Given the length of the survey, and of many other surveys in developing countries, one can hypothesize that the duration of the survey and the order of questions play a role in explaining measurement error. We randomly assigned the order of the cognitive, non-cognitive, and technical modules in both the test and the retest and use this to assess the order effect. Table 9 shows that for the cognitive and noncognitive skills the order in which the module appeared in the test and retest does indeed significantly affect their test–retest correlations. But, contrary to our prior, there is no clear evidence of survey fatigue, as there is no systematic degradation of the reliability when a module comes later in the survey.<sup>33</sup>

Instead, the test–retest correlation for noncognitive skills was highest when it comes last, and differences between different test–retest combinations are significant. In contrast, the test–retest correlation for technical skills is highest when it comes first. This matches well with observations from the field that noncognitive questions, which are more abstract, tend to raise eyebrows when the survey starts with them, whereas discussion about farming practices allows a smoother start. Therefore careful attention to the order of different modules when designing a survey instrument can reduce measurement error, while survey duration and fatigue may not be that important. Good practice may be to start with questions on topics that the respondents are comfortable talking about and to ask more abstract noncognitive questions towards the end of the survey, when respondents are more at ease.

### *D. Acquiescence Bias: Implications and Corrections*

Acquiescence bias may be more likely in populations with lower levels of education or cognition than those for which Big Five questionnaires and lower-order noncognitive subscales were originally designed. The bottom panel in Figure 1, showing a strong negative correlation between the acquiescence score and the cognitive index (left) or the educational level (right), is suggestive in this regard. The gradients are steep, and the acquiescence score is twice as large for somebody with no education than somebody with ten years of education. This is consistent with qualitative observations during piloting: “yea-saying” was more likely when respondents did not fully understand a question, which happened more often for lower educated individuals.

Strikingly, the acquiescence score shows a strong negative correlation with yields as well. The coefficient of correlation with the average rank of maize yield is  $-0.15$ , with a  $p$ -value  $<0.0001$ . Hence farmers with a higher propensity of agreeing with different statements have lower yields on average. The importance of acquiescence bias and its high correlation with both cognitive skills and outcomes of interest imply that the effects of the noncognitive skills may be confounded with response patterns when the latter are not properly handled.

Because acquiescence bias leads to observable contradictions in the responses of reversed and nonreversed items, it can be corrected for, as we do in this study.<sup>34</sup> For this it is preferable to balance reverse and nonreversed items in all scales, a practice

33. Analysis of the random order of the questions within modules leads to a similar conclusion.

34. Other sources of bias include “extreme response bias” and “middle response bias,” which we did not find to improve estimations when corrected for, and “social desirability bias,” which may lead a respondent to answer what they believe will give the best impression or what they believe the enumerator wants to hear.

**Table 9***Test–Retest Correlations as a Function of Order of the Module in the Survey Instrument*

Order in Test	Order in Retest			<i>p</i> -Value All Coeff. Equal
	1	2	3	
Cognitive				
1	0.87	0.90	0.87	0.049
2	0.80	0.91	0.83	
3	0.84	0.87	0.83	
Noncognitive				
1	0.60	0.49	0.32	0.008
2	0.57	0.62	0.57	
3	0.50	0.54	0.73	
Technical				
1	0.52	0.37	0.36	0.505
2	0.51	0.30	0.47	
3	0.37	0.42	0.38	

commonly used in psychology but often ignored by economists. As reverse items can be harder to understand or translate, they may require more adaptation (for example, avoiding double negations that confuse respondents). While it may be tempting to instead drop reverse items, the benefits of being able to measure and correct acquiescence bias seem to outweigh the costs.

### *E. Anchoring and the Use of Other Sources of Information*

Another important response pattern comes from the fact that each respondent may interpret the Likert scale differently and use different thresholds to decide between answer categories. One way of breaking the relationship between answer patterns and skills is to ask another person about the skills of the person of interest, which is why correlations between self-reports and other observer ratings are often used as evidence of validity (McCrae et al. 2011). A priori, the other person is likely to have asymmetric information about the true skill level, but if it helps address the systematic bias, this may also provide an alternative or complementary manner to measure skills.

To test the validity and trade-offs, we collected proxy information from a number of different sources. First we asked the community health worker (CHW), a person well informed about different village members, based on their regular home visits, to classify households according to their cognitive, noncognitive, and technical skills (three questions).<sup>35</sup> In addition, we ask another household member, as well as two other village

35. Because the CHW's responsibilities require regularly visiting villagers, we expect them to be well informed about the skills of others. Picking a random person in the village may not yield the same results.

members (one at test and one at retest), to assess 14 specific skills of the respondent, answered on a Likert scale. Each person was also asked the same 14 questions about themselves.

Table 10 shows the correlations of the proxy measures with the relevant scales or subscales. Correlations between observable and objective skills (language and math) and proxy measures by random village members or other household member are good, but all other correlations are very low. Strikingly, for seven out of the 14 measures, the correlation between proxy measures of skills of the same person by two different people is smaller than the correlation between proxy measures of skills of two different people by the same respondent. This points to systematic answering patterns, which appear as important as the actual skill differences between the two people about whom the proxy reports.

Table 11 shows results for the predictive power of the proxy report by the CHW. Asking the same person about the skills of several persons presents the advantage of ensuring that the same anchoring is used, making the resulting measure more comparable within this group. As each CHW was asked about the 10 sample farmers of the village, we include village fixed effects to take out any systematic CHW effect. Column 1 shows the variation explained by only the fixed effects, Column 2 show the additional variation explained by the three skill proxies obtained from the CHW, and Column 3 shows the specification with the full set of controls. Using proxy reports by a village informant gives results that are relatively close to those obtained with self-reports; in particular the cognitive and technical skills proxies are predictive of yield in the specification without controls. Moreover the predictive power of the CHWs' reports on farmers' technical skills remains robust to adding controls, and the  $R^2$  is similar as in the model with self-reports.

These results suggest that some of the first-order results can be obtained by asking three simple questions to a well-informed key informant, instead of asking 2.5 hours of targeted skill questions and tests to each respondent. That said, such proxy measures are not a good solution when one aims to obtain comparable skill measures across villages. Hence, for proxy information, there appears to be a benefit of asking one well-informed and connected person about many people in the village, rather than using several proxy respondents.

### *F. Differences in the Factor Structure*

The factor analysis of the noncognitive skills raises concerns because it often does not pool items that are expected to belong to the same subconstructs into the same factors. To formalize this finding, a congruence test considers the degree of correlation of the factor loads of similar items obtained in other contexts (see [Online Appendix B6](#)). We restrict the analysis to the 23 items from the Big Five included in our study. Table 12 presents the congruence with respect to the same items administrated in the United States where the BFI has been validated many times. In Kenya, it shows an average congruence of 0.55 across the five factors. For comparison, using the factor loads of the same items administrated in Spain, the Netherlands, and Germany results in congruence coefficients between 0.76 and 0.93. The average congruence coefficient for the subsample with higher cognitive ability is higher, but still substantially below the three developed-country ones.

**Table 10**  
*Correlation of Different Skill Proxy Measures with Subscales Measuring Same Domain*

Question	Corresponding Subconstruct	Correlation with Subconstruct			Test–Retest Correlation		
		Self-Assessment	Other Household Member	Other Village Member	Asking Same Person	Asking Different Person	Asking Same Person about Different Person
How smart are you, how quickly do you understand things?	Raven	0.10	0.16	0.11	0.06	0.08	0.08
How well can you read and write?	Read	0.55	0.48	0.39	0.23	0.13	0.13
How good are you at math?	Math (timed)	0.31	0.37	0.27	0.16	0.14	0.14
How much does your life depend on your own action?	Locus of control	0.13	0.00	0.02	0.08	0.07	0.07
How self-confident are you?	Self-esteem	0.13	0.03	0.06	0.11	0.11	0.11
How open to change are you?	Attitude towards change	0.22	0.06	0.05	0.12	0.11	0.11
How much do you think that you are someone who is organized?	Big 5 conscientiousness	0.18	0.01	0.12	0.06	0.12	0.12
How hard working are you?	Organization/tenacity/self-control	0.10	-0.02	0.04	0.11	0.08	0.08
How optimistic are you?	Optimism	0.11	0.05	0.02	0.08	0.10	0.10
How patient are you?	Patience	-0.01	0.00	0.08	0.14	0.10	0.10
How outgoing and social are you?	Big 5 extraversion	0.12	0.05	0.07	0.12	0.08	0.08
How kind and sensitive are you?	Big 5 agreeableness	0.15	-0.02	0.08	0.06	0.15	0.15
How easily do you get stressed?	Big 5 neuroticism	-0.03	0.01	-0.03	0.10	0.14	0.14
How knowledgeable are you about farming techniques?	Technical skills	0.00	0.07	0.07	0.09	0.09	0.16

Notes: Table reports correlations between the 14 summary questions and the subconstruct most closely corresponding to each question. We use the demeaned measures of noncognitive subconstructs and the improved indexes of cognitive subconstructs and technical skills.

**Table 11**

*Skills Questions Asked to a Village Informant—Correlation with Skills Index and Prediction of Average Rank of Maize Yield*

Corresponding Skill Index	Correlation with Corresponding Skill Index	Explanatory Variables: Question Asked to Village	Regressions with the Average Rank of Maize Yield as Dependent Variable		
Cognitive	0.43	Level of education	4.73*** (1.30)	1.36 (1.55)	
Noncognitive	0.22	Active/motivated	2.34 (2.06)	1.73 (2.16)	
Technical	0.15	Agricultural knowledge	6.43*** (1.72)	5.89*** (1.74)	
		Controls	Vil. FE	Vil. FE	All
		Observations	883	883	883
		R <sup>2</sup>	0.239	0.310	0.372
		F-test		0.000	0.000

Notes: Skill proxies obtained through village informant (CHW), scored on scale from 1 (low) to 3 (high). The right side of the table presents the correlation of the three questions asked to the village informant with the improved index of the corresponding skill, which the question intended to proxy. In the regressions, the dependent variable is the average rank of maize yields calculated over the four seasons (short rain 14 to long rain 16). Controls include education, literacy, gender, age and age squared of the farmer, land and cattle ownership, size and quality of the house, household size, whether the farmer is the household head, household head's gender, village fixed effects (Vil. FE), and enumerator-assignment fixed effects. Standard errors clustered at village level in parentheses. Significance: \* $p < 0.1$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

This finding could indicate that the underlying factor structure is different for this population than for populations on which it was previously validated, perhaps for cultural reasons. But it could also be due to a lack of understanding of some items or systematic response patterns that may be stronger than in high-income contexts and therefore do not allow detecting the same factor structure, even when the true latent factors are similar.

### ***G. Explaining the Noise in the Measure of Technical Skill***

As the technical skills questions attempt to measure knowledge, one would expect them to be less affected by systematic response biases. They require respondents to choose between a series of answers that do not have a clear ranking or to give open answers, and while respondents certainly can guess, systematic bias of all questions in a given direction is less likely. The results indicate, however, that random measurement error is much more important for technical than for cognitive skills. This can be inferred from the low test–retest statistics, low Cronbach's alpha, and the gains in precision and predictive power when using means of test and retest and factor analysis.

**Table 12**  
*Congruence of Big Five Factors Compared to United States—Comparisons with Other Countries*

	Extraversion	Agreeableness	Conscientiousness	Neuroticism	Openness	Average
Kenya sample						
All	0.64	0.23	0.46	0.63	0.81	0.55
Cog. above median	0.72	0.27	0.51	0.70	0.76	0.59
Cog. below median	0.52	0.46	0.07	0.48	0.55	0.42
Colombia sample						
All	0.57	0.60	0.03	0.74	0.60	0.51
Cog. above median	0.69	0.64	0.49	0.76	0.73	0.66
Cog. below median	0.54	0.56	0.32	0.65	0.48	0.51
Other countries						
Spain	0.95	0.93	0.95	0.96	0.86	0.93
Netherlands	0.94	0.91	0.94	0.94	0.64	0.87
Germany	0.93	0.60	0.96	0.94	0.39	0.76

Notes: To have comparable results for different countries, the same subset of questions was kept, using Big Five data from other countries, and the factorial analysis uses varimax rotation. The absolute value of correlations between factor loads is used to calculate congruence. To maintain comparability the items are not corrected for acquiescence bias.

As discussed above, respondents' difficulties in understanding the technical knowledge questions may partly explain the measurement error. Insights from the qualitative field work provide additional insights for why the technical measure is noisy. To effectively assess a skill, a question needs to have only one correct answer and have enough variation in the responses to be informative of the respondents' knowledge. However, after working with agronomists to identify the most fundamental knowledge that can affect a farmer's productivity and piloting the questions, we found that most of them fell into one of the two following categories. Questions with unambiguous correct answers were answered correctly by the vast majority of farmers.<sup>36</sup> In contrast, questions that had sufficient variance in the responses often were questions for which the right answer may depend on the context.<sup>37</sup> Informative questions with one correct answer were difficult to find, precisely because the difficulty to make the right decisions in farming often comes from the difficulty to adapt to each context rather than applying a "one-size-fits-all" solution. Obtaining better measures of technical skills may require the development of new techniques to assess whether the different micro-decisions taken by farmers fit their environment.

## V. From Kenya to Colombia and Beyond: Similar Results in Different Contexts

Do the findings of this work apply to rural developing contexts other than Luo-speaking Western Kenya? To address this question we replicated key parts of the experiment in the department of Sucre in Colombia in 2017, applying a similar skills measurement survey twice to 804 farmers also with a three-week interval. Items were translated into Spanish but were kept as similar as possible in order to allow comparison. The technical knowledge module followed the same structure, but was adapted, working again with local agronomists to identify key farming knowledge required for good practices. As in Kenya, we first piloted the questionnaire in order to make the adjustments necessary for the questionnaire to be well understood by the population. We apply the same calculations to compute the naive and improved indexes and present the summary statistics in Table 13. Besides this, we replicate the results of Figure 1 and Tables 1, 2, 3, and 10 in the [Online Appendix Figure D1 and Tables D1, D2, D3, and D4](#), respectively.

As in the case of Kenya, both the cognitive and technical indexes become more precise with the improved measures. The results confirm that the use of item response theory can bring substantial gains in dealing with the noise. Again, the cognitive measure is the only one that shows a high level of consistency both across tests and across time, although it requires the use of the improved measure. The measure of technical skills remains quite noisy, but less so than in the case of Kenya, with a test-retest that goes from 0.50 in the naive measure to 0.62 when calculated with item response theory.

36. This may be different when farmers have recently been exposed to new information (for instance, through an extension intervention), as differences in exposure and internalization of the new messages may create more empirical variation in knowledge of this new information.

37. For instance, the optimal number of seeds in a hole at planting can depend on the quality of the seeds and the spacing between seeds, and when farmers answer this question, their benchmark quality and spacing might be different than those of the agronomist. Also, their answers may change over time if the answers reflect their most recent experiences.

**Table 13***Analysis of Reliability and Validity Applying a Similar Survey in Colombia*

	Test–Retest Correlation	Cronbach's Alpha of Test	# of Items	Enumerator Assigned (Test–Retest Correlation)		$R^2$ of Enum. FE	Acquiescence Bias
				Same	Different		
Naive score							
Cognitive	0.78	0.62	6	0.75	0.75	0.04	
Noncognitive	0.70	0.70	15	0.73	0.67	0.04	0.37
Technical	0.50	0.33	7	0.51	0.50	0.13	
Improved score							
Cog. (IRT and factor)	0.94	0.81	6	0.94	0.93	0.05	
Noncognitive (factor)	0.64	0.69	7	0.66	0.61	0.04	
Technical (IRT)	0.62		1	0.66	0.58	0.21	

Notes: IRT is item response theory.

For Colombia, the noncognitive construct reaches a test–retest and Cronbach's alpha that are just around 0.7. The test–retest for the subconstructs, however, varies between 0.21 and 0.66, and the Cronbach alphas are between 0.21 and 0.71, in line with the Kenyan results (Online Appendix Table D2). Moreover, the aggregate indicators of consistency are lower for the improved score. This loss after correcting for acquiescence bias and sorting items by factor suggests that the correlations were partly driven by response patterns. The acquiescence bias is of the same order of magnitude as in Kenya (0.37). For noncognitive and technical measures, we also find that having the same enumerator assigned leads to greater test–retest correlations and that the  $R^2$  of enumerators fixed effects is substantial and is significant for noncognitive and technical skills (Online Appendix Table D3), although in the case of Colombia, the  $R^2$  of enumerators is much higher for technical skills (0.21) than for noncognitive skills (0.04). As for Kenya, the difference in the test–retest correlated between having the same enumerator or a different enumerator assigned is significant for the cognitive and technical skills. Both are signs that the interaction with enumerators is part of the challenge. Finally, as shown in Table D4, we find that in all four questions asked to proxies in the same village, the correlation between proxy measures of skills of the same person by two different people is smaller than the correlation between proxy measures of skills of two different people by the same respondent. This provides additional evidence that the variance from systematic answering patterns may in fact exceed that variance from the true skills that one aims to capture.

In sum, the replication in a very different context and language leads us to strikingly similar conclusions, both in the validation of cognitive skills and regarding measurement error in technical and noncognitive skills. Along the same lines, results in Laajaj

et al. (2019), combining Big Five data covering more than 300,000 individuals from 23 countries, establish lack of congruence with the expected five-factor model in face-to-face surveys (Section IV.F), further suggesting issues found in Kenya are not specific to that context.

## VI. Conclusions

Cognitive, noncognitive, and technical skills are thought to play a key role for many economic decisions and outcomes in developing countries and are increasingly incorporated into empirical analyses. The measure of skills through enumerators in a developing setting brings differences that are such that it requires its own validation, and yet little is known about the validity or reliability of commonly used skill measures in surveys conducted in developing countries. This study is the first to investigate the reliability, validity, and the predictive power of a large range of skill measures on poor rural adult populations in developing-country settings. We do so using data from a survey experiment, specifically designed for this purpose, and a variety of statistical tools and methodologies. The results show the cognitive skills measures are reliable and internally consistent, while technical skills are difficult to capture and very noisy. The evidence further suggests that measurement error in noncognitive skills is nonclassical, as correlation between questions are driven in part by the answering patterns of the respondents and the phrasing of the questions.

These results imply that collecting information on cognitive skills in large household surveys in field conditions is feasible. The high correlations between cognitive measures further suggest that it may not be necessary to ask the full battery of tests, and shorter survey modules can be used to obtain a reliable proxy of cognitive skills. Further validation of such measures in other contexts will be important to establish whether these conclusions hold in settings other than Kenya and Colombia and the extent to which such measures allow comparison of cognitive skills across countries or across regions and groups within countries.

The study further shows how specifically accounting for measurement error through factor analysis and item response theory can help increase the validity, reliability, and predictive power of the technical skill measures. It also highlights that obtaining a good aggregate and stable measure of agricultural knowledge is challenging, as the “right” answer to many agricultural questions is context-specific, so that it can differ both between respondents and even for the same respondent over time. Nevertheless, once the measurement error is reduced, the technical skills seem to lead to coherent predictions.

This work also shows the weaknesses of instruments designed to capture noncognitive outcomes when applied through enumerator-administered surveys in poor rural settings. It highlights the importance of using factor analysis and corrections for response patterns to obtain more reliable and valid measures, and it warns against naive interpretation of existing scales. This result further suggests that a narrow focus on a subset of questions from a few scales can lead to misleading conclusions. Instead, collecting an extensive battery of questions in a pilot stage and using factor analysis and item response theory on those pilot data could help determine the subset of questions to keep in a larger survey, and their relevant interpretation.

Finally, we find that skill measures can explain meaningful variation in agricultural productivity and practices. When using our best estimates to address measurement errors, we find that the three skills constructs contribute about equally to explaining yield. However, the presence of systematic measurement error in the noncognitive construct raises concerns about the possible interpretation of the relationship observed in these regressions. One step towards a more cautious use of such measures would be to require evidence of their coherence before presenting the related results. Demonstrating a proper sorting of the items into coherent factors ought to be a pre-condition for separately interpreting the subconstructs.

Overall, this study provides a proof of concept that in some developing-country contexts, technical and noncognitive skill measures may not properly measure what they intend to measure. The evidence from Kenya and Colombia, combined with the absence of validation of such skill measures for similar contexts, raises obvious concerns. Even if the methods applied in this paper helped reduce some of the measurement error, a large amount of measurement error remained after corrections in both the noncognitive and the technical constructs. The evidence further suggests that having a relatively large set of items, and repeated measures, is important to reduce the measurement error.

The results also flag the large variation in answers due to variation across enumerators, pointing to the importance of carefully accounting for such enumerator effects in the data collection design. The use of enumerators and oral questions distinguishes developing-country data from data obtained and validated in mostly developed settings, and this study provides clear evidence that they can have major implications for measurement, and ought to be accounted for. Finally, while the purpose of this study was to explicitly test for measurement error with existing scales, the sobering results arguably suggest the need for noncognitive and technical skill measurement instruments that are more adapted to a poor rural study population and subsequently validated.<sup>38</sup>

The policy literature analyzing whether and how skills can be moved with policy interventions or connecting skills acquisition to improved outcomes asks a question that is related to, but not the same as, the one examined in our study. While we focus on the cross-sectional variation in the level of different skills measures, we leave it to future research to investigate how the validity and reliability concerns affect findings when skills and their malleability are measured as outcomes of external interventions (as one would typically do for impact evaluation purposes).

Obtaining good measures of adult skills is a prerequisite for empirical work on the importance of skills for economic decision-making in developing countries and can be key to fully analyze the optimal design and potential benefits of a wide range of policies. For the rural sector, a better understanding of adult skills is particularly pertinent, given the often-hypothesized selection of higher skilled individuals into nonagricultural occupations. Further improvements in skill measurement are needed to better understand the importance of this selection, and more generally to analyze the role of skills, and their interactions with other factors, for economic and social outcomes.

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38. Decision-based measures or incentivized real effort tasks are alternatives that can be explored, even though they are costly and face their own challenges (Duckworth and Kern 2011; Forstmeier, Drobotz, and Maercker 2011; Alan, Benova, and Ertac 2016). In this study we purposefully limited the skill measures and scales to those that are commonly used (and relatively easily to incorporate) in large-sample surveys. For both reasons, we did not include task-based measures, but highlight the need for exploration and validation of such measures as possible alternatives to current practice.

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